



MINISTRY OF TRANSPORT
AND COMMUNICATIONS

IMO IMSAS Audit of Finland: The opening words, member state presentation and Finnish policies on procedures

Sessions 1-3
28.10.2024

Welcome to Finland

- Picture: MoC signature event: Secretary General Arsenio Domingues IMO and Permanent Secretary Minna Kivimäki Ministry of Transport and Communications



A brief introduction of the participating organisations

Ministry of Transport and Communications

Finnish Transport and Communications Agency
Traficom

Finnish Transport Infrastructure Agency

Fintraffic

Vessel Traffic Services

Finnish Meteorological Institute

Regional State Administrative Agency
(Regional administration)

Centre for Economic Development, Transport and the Environment
(Regional administration)

Ministry of Economic Affairs and Employment

Ministry of the Environment

Finnish Environment Institute

Ministry of the Interior

Finnish Border Guard
National Police Board
Rescue departments

Ministry of Finance

Finnish Customs

Ministry of Social Affairs and Health

Ministry of Education and Culture

Finnish National Agency for Education
Finnish Education Evaluation Centre

Ministry of Justice

Safety Investigation Authority

Ministry for Foreign Affairs

Prime Minister's Office

Ministry of Agriculture and Forestry



MINISTRY OF TRANSPORT
AND COMMUNICATIONS

Introduction of the auditors and the audit

By Mr. Prasad Panicker, The Audit Team Lead



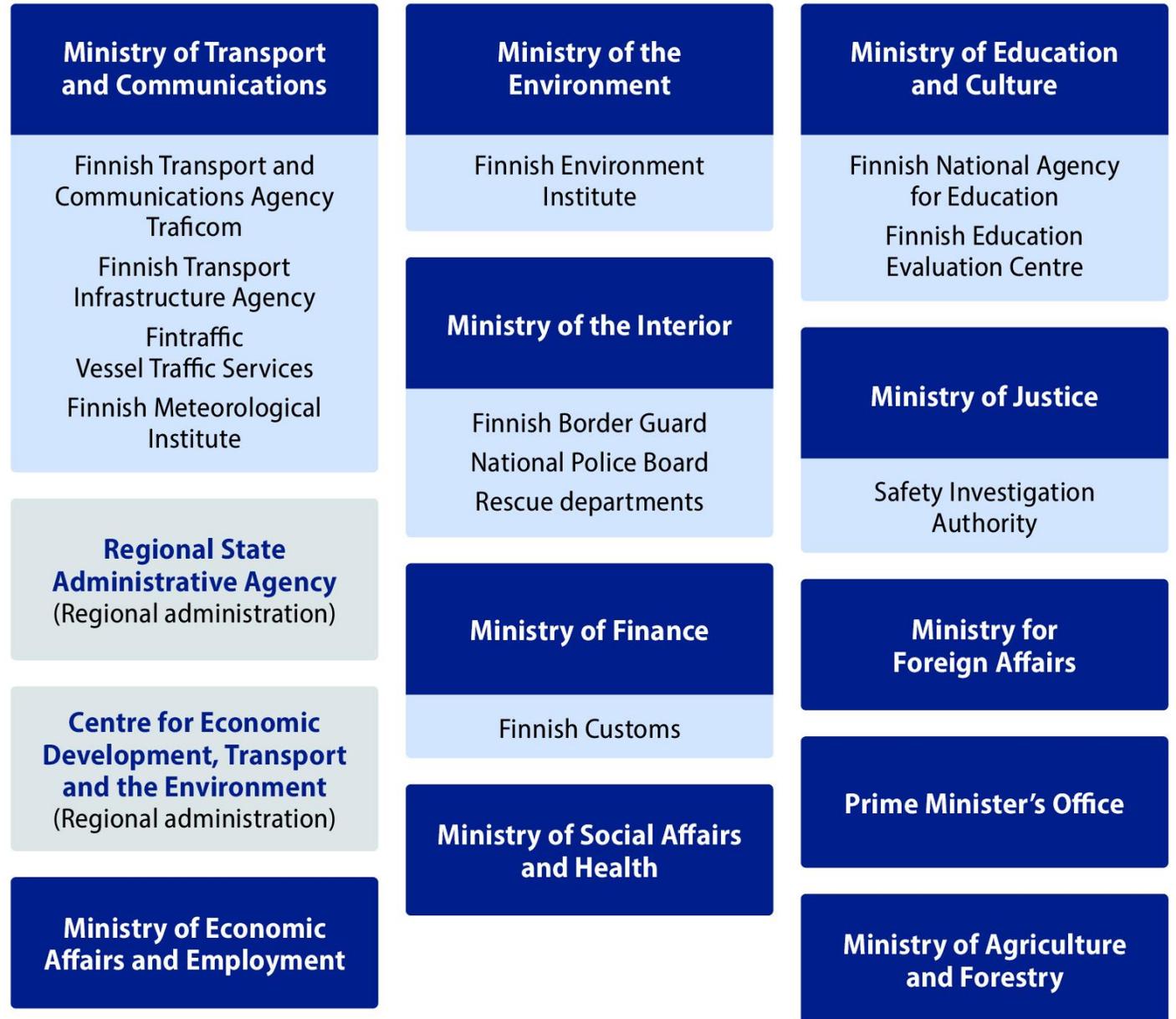
MINISTRY OF TRANSPORT
AND COMMUNICATIONS

Member State Presentations Session 2

Structure of the maritime administration:
Entities and other agencies involved in the implementation and enforcement of
relevant IMO instruments

Structure of Maritime Administration

Maritime administration in Finland is decentralised across **11 ministries**, **9 agencies** within their administrative branches, together with **regional agencies** Centres for Economic Development, Transport and the Environment (**ELY Centres**) and Regional State Administrative Agencies (**AVIs**).





Presentations of maritime administration entities

1. Prime Minister's Office
2. Ministry of Finance
3. Ministry of Transport and Communications
4. The Finnish Transport and Communications Safety Agency
5. Finnish Transport Infrastructure Agency
6. Fintraffic VTS
7. Finnish Meteorological Institute
8. Finnish Border Guard
9. Rescue Services
10. Ministry of Environment
11. Finnish Environment Institute
12. Centres for Economic Development, Transport and the Environment - ELY
13. The Ministry of Justice
14. Safety Investigation Authority Finland
15. Ministry of Education and Culture
16. Ministry of Social Affairs and Health
17. Ministry of Economic Affairs and Employment
18. Occupational Safety and Health Administration



Prime Minister's Office

- Supports the Prime Minister in the general management of the Government. The PMO monitors the implementation of the Government Programme and plans and anticipates public policy over the long term. It is also responsible for Finland's EU policy, for the ownership steering of state-owned companies and for Government communications.
- The Ownership Steering Department of the Prime Minister's Office prepares and enforces the state ownership policy and guides state-owned companies including Finnpilot Pilotage Ltd, which provides pilotage services in Finnish ports.
- The EU Affairs Department of the Prime Minister's Office is responsible for coordinating all EU affairs in the Government including maritime transport and integrated maritime policy.
- The Government Strategy department is responsible for the development of national marine and maritime policy and for the EU integrated maritime policy

Ministry of Finance

- Produces the Government's Budget, prepares the Government's economic and fiscal policy, and provides expertise in tax policy.
- Responsible for the preparation of financial markets policy and for shaping local government legislation and local government finances.
- Prepare principles for improved public governance, develop systems for managing central government finances, and set the direction for digital practices and services in the government sector.
- Responsibilities also includes human resources policy and central government employer policy.



Ministry of Transport and Communications (MINTC)

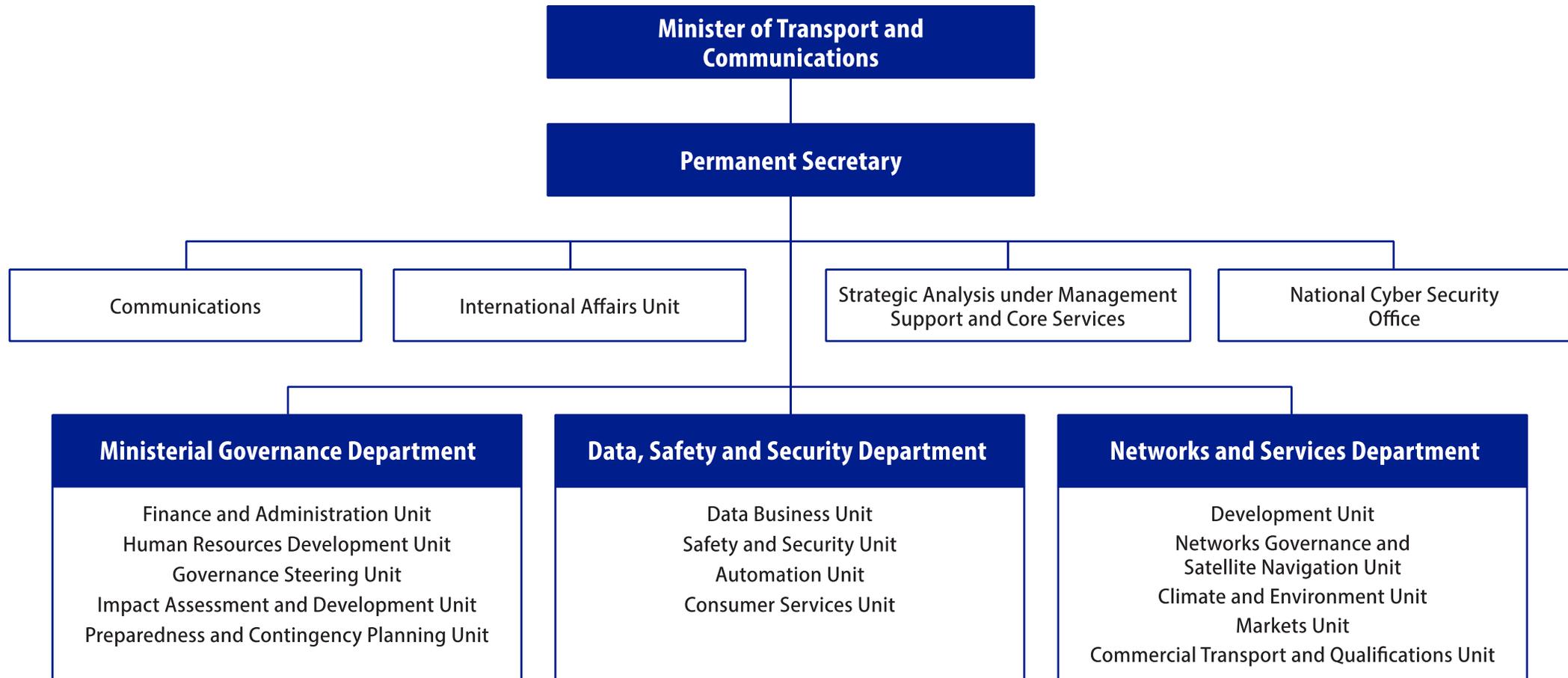
The Ministry oversees transport and communications systems, regulation and strategies covering data, digital infrastructure (e.g., satellite navigation, telecom networks), transport networks (road, maritime, inland waterways, rail, air) and services, including meteorology.

- Regulation:
 - International and EU regulations (IMO, ICAO, and UNECE).
 - Oversees and monitors IMO regulations and coordinates with The Finnish Transport and Communications Agency Traficom and other agencies for national adoption and enforcement.
- Administrative Branch:
 - Traficom, Finnish Transport Infrastructure Agency (FTIA), and Finnish Meteorological Institute (FMI).
 - Ownership steering of Fintraffic Ltd (Traffic Management VTS) and Arctia (responsible for icebreaking, fairway maintenance, and hydrographic surveying).
- Environmental and Maritime Impacts:
 - Works with administrative organizations on managing maritime environmental impacts, shipping protection, sanctions, punishments, and environmental data collection.



Ministry of Transport and Communications

(Decree of the Rules of Procedure of Transport and Communications 470/2023)

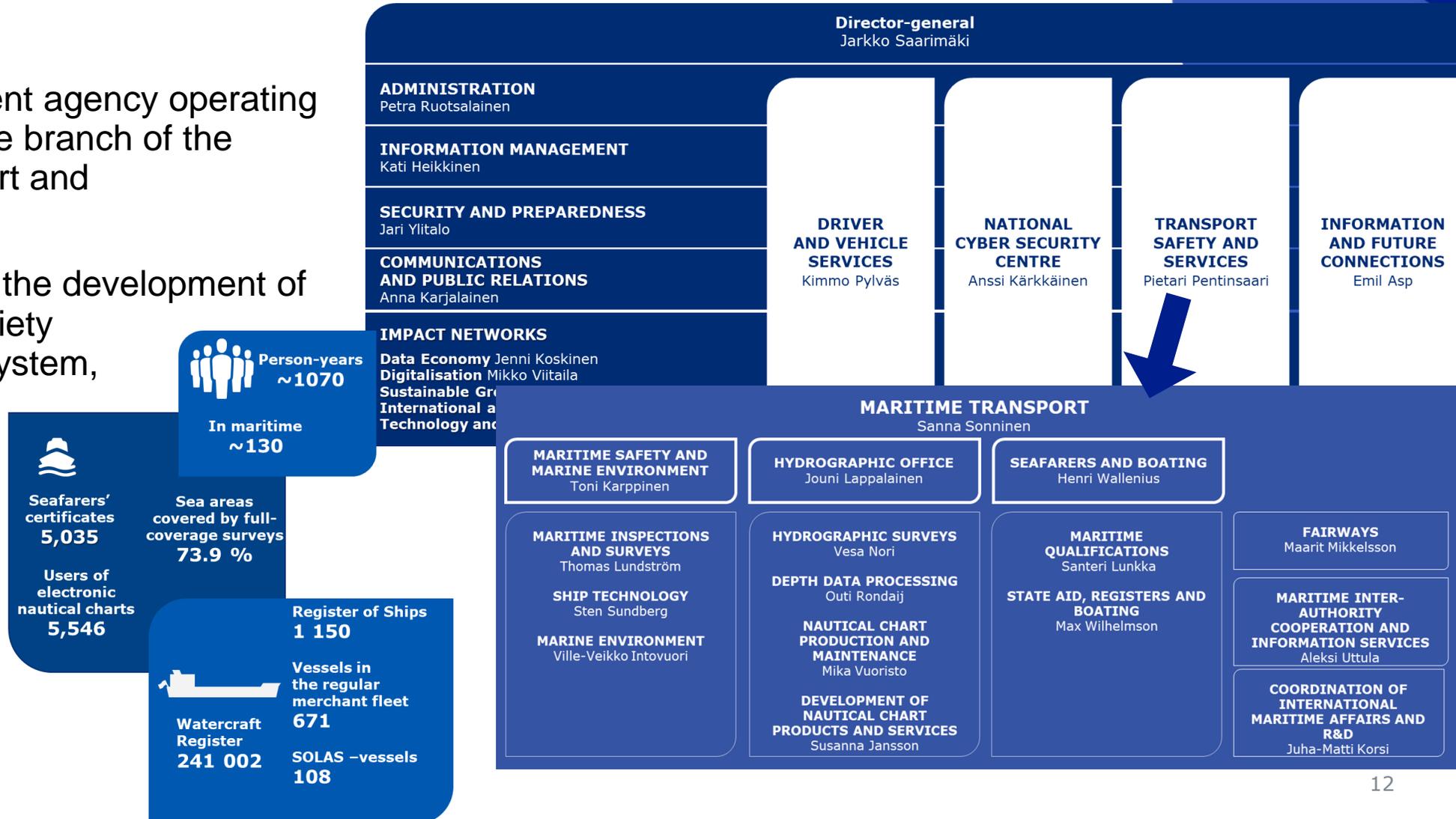


The Finnish Transport and Communications Agency - Traficom



Rail regulatory body
Juha Karjanlahti

- A central government agency operating in the administrative branch of the Ministry of Transport and Communications.
- Traficom promotes the development of the information society and the transport system, functional and safe transport and communication connections and services.



Finnish Transport Infrastructure Agency



Finnish Transport Infrastructure Agency

FTIA is a governmental agency employing about 480 people, responsible for public road and railway networks and waterways, as well as maintenance, improvement and development of transport infrastructure.

Regarding waterways, FTIA is also responsible for arranging traffic control (Vessel Traffic Services), and ice breaking services.



In Finland, there are about **20,000 km of public, mapped fairways recorded on maps**. FTIA manages **16,300 km** of fairways:

8,300 km coastal routes

8,000 km inland waterways

4,000 km fairways used for merchant shipping

30 ports open all year round, minimum 8m deep fairways
upto 4,000 ships assisted by icebreakers in severe winter conditions

In Finland, there are about **34,000 Aids to Navigation**. FTIA manages **25,600** Aids to Navigation: beacons, buoys, signs, marks, lighthouses

41 Inland canal locks

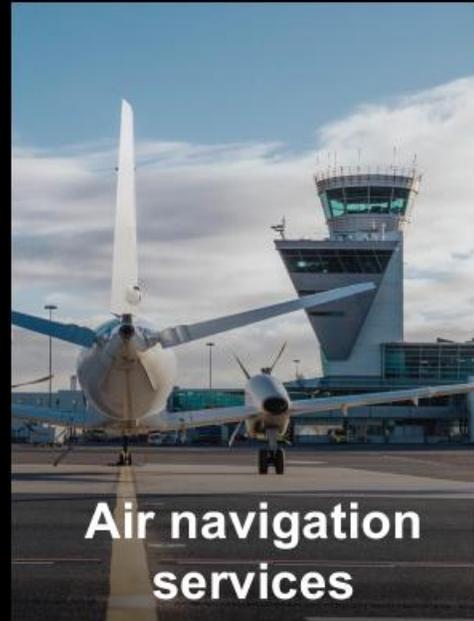
30 Movable bridges

Around 110 M € Annual funding for basic maintenance of waterways

Fintraffic - Traffic control services



- Rail traffic management
- Capacity management and control
- Traffic Planning
- Passenger information services for train travel
- Operating centre functions
- Implementation of the development and verification phase of the Digirail project
- Responsibility for the safety control room and quality control room
- Rail traffic control ecosystem services
- Expert and training services.



- Managing Finland's airspace and providing air route services and air navigation services at 22 airports in Finland
- The design and maintenance of air navigation infrastructure and systems required by Air Navigation Services in Finland, and technical maintenance services at five airports in Sweden
- Special assignments related to air rescue and area surveillance
- Training and consulting services



- Road traffic control and management services at Road Traffic Centres
- Control and operating systems for traffic lights, and automatic infrastructure for speed limit control
- Traffic control systems for tunnels, sections of open road, and border crossings
- Traffic measurement and analytics
- Road weather equipment systems and analytics



- Vessel traffic services
- Safety radio operations
- Radio navigation services
- Monitoring international waters
- Maritime traffic notification services for vessels, vessel representatives and authorities
- Services and development projects that increase the environmental efficiency of maritime traffic
- Maritime situational awareness services for authorities
- Digital maritime traffic services for vessels and stakeholders

Finnish Meteorological Institute

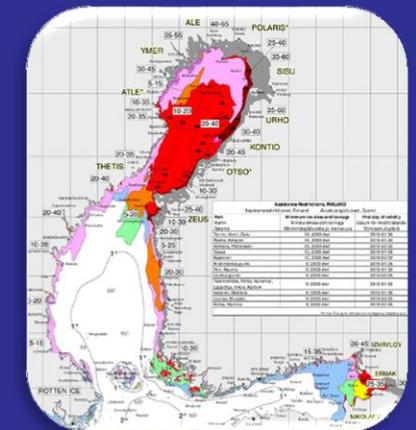
– for the safety and smooth operation of the society

The Finnish Meteorological Institute is a research and service agency under the ministry of transport and communications.

FMI produces observation and research data on the atmosphere, the near space and the seas, as well as weather, sea, air quality and climate services for the needs of public safety, business life and citizens.

FMI offers specialized weather and sea-ice forecasts for maritime industries and aviation to support safe and efficient operations and issues warnings for severe weather.

The operations of FMI are regulated by the Act and Government Degree on the Finnish Meteorological Institute.



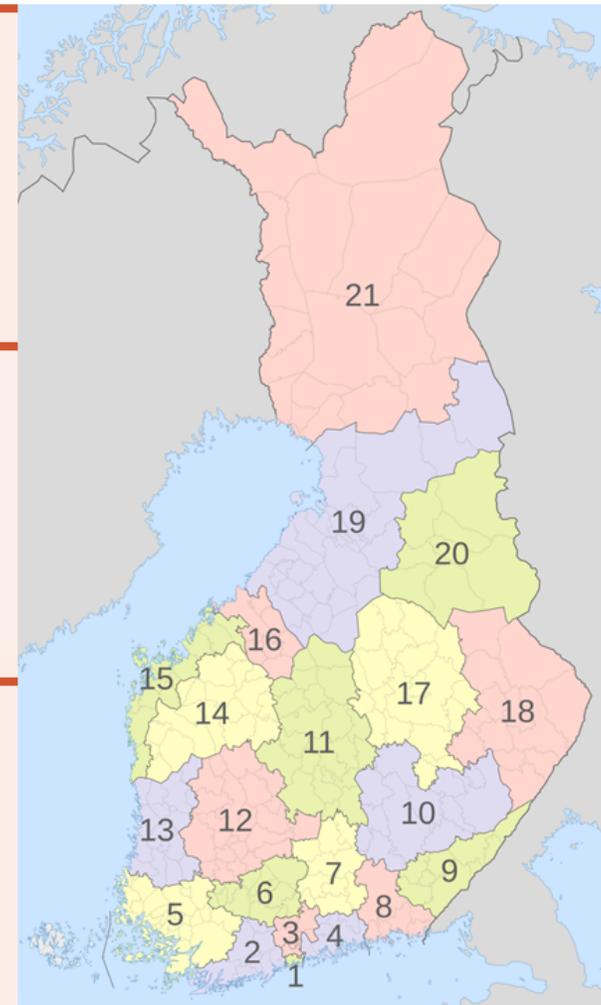
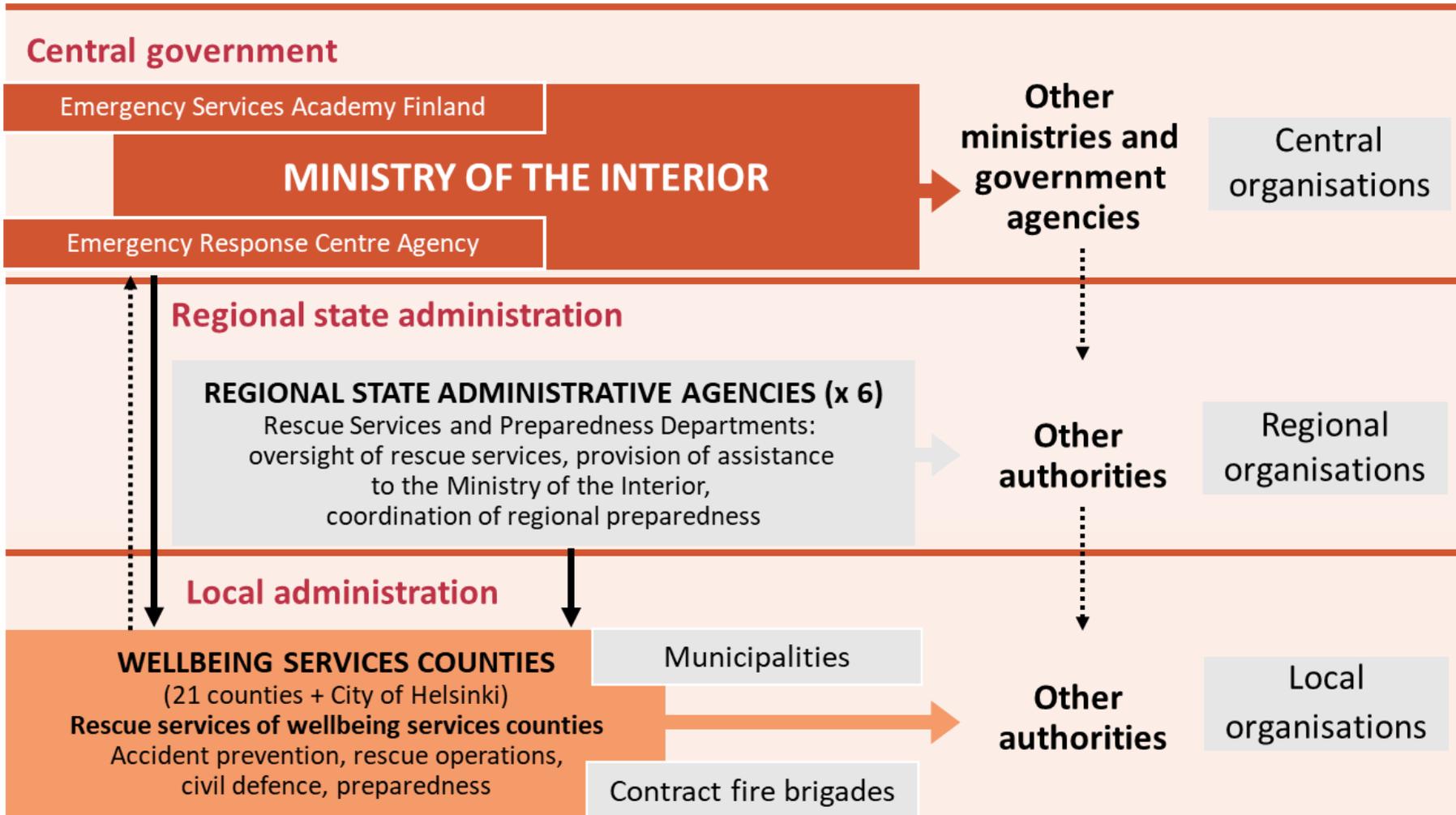
Finnish Border Guard organisation and tasks at the sea



- **Border management tasks**
 - Border control and border checks
- **Maritime search and rescue command and participation**
- **Surveillance of oil spills and discharges from ships and associated administrative sanctions and criminal investigation**
- **Environmental emergency response**
- **Military defence**
 - Territorial surveillance
- **Tasks related to maritime safety**
 - Surveillance of EEZ
 - Surveillance of waterborne traffic
 - Hunting and fishing control
 - Reception of security alerts in maritime transport
 - Duties for maintaining public order and security
 - Custom duties



Rescue Services Administrative Structure



Ministry of the Environment

The Ministry of the Environment is responsible for preparing matters to be submitted for consideration by the Government and Parliament, such as matters concerning environmental protection, climate change, biodiversity, sustainable use of natural resources, communities, the built environment and housing. The Ministry of the Environment is also responsible for the performance guidance of its subordinate administration.

From the maritime perspective, the Ministry of the Environment is responsible for legislation concerning:

- protection of surface waters, groundwater and marine areas,
- environmental permits for ports,
- ship recycling,
- monitoring and remediation of shipwrecks,
- the organisation of the management of animals contaminated by oil and chemical spills, and the aftercare of oil spills.
- Furthermore, the Ministry of the Environment participates in the legislative drafting of the waste management plans and reception facilities at ports.

The Finnish Environment Institute offers expertise related to the monitoring of the environmental impacts of oil discharges and the preparation of shelter decisions.

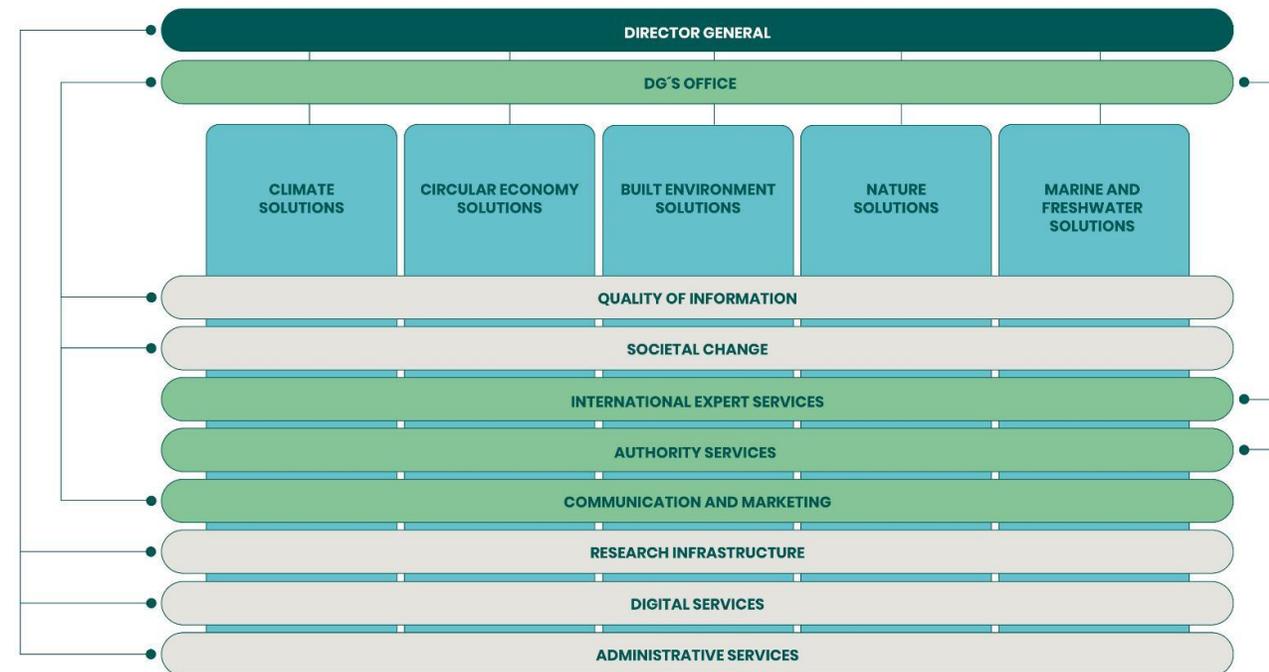
The Finnish Environment Institute is responsible for monitoring and renovating wrecks that pose a risk of environmental damage.

The Finnish Environment Institute manages the Finnish state-owned marine research vessel Aranda.

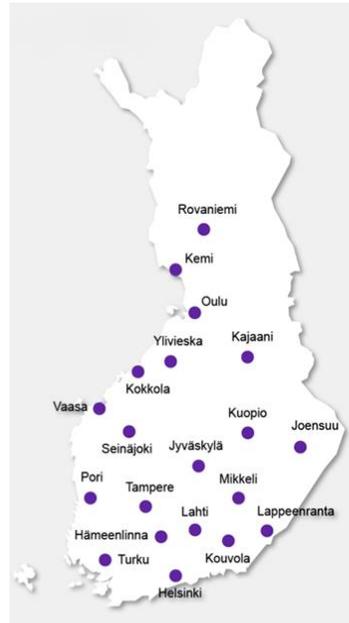


Photo: Ilkka Lastumäki, Syke

Syke's organisation 2023–2026



Centres for Economic Development, Transport and the Environment - ELY



Organisation



- Carries out central government enforcement and development tasks in their regions, have also centralized tasks that are managed nationally (Act 897/2009)
- Tasks divided into three areas of responsibility: Business and industry, labour force and competence (E), Transport and Infrastructure (L) and Environment and Natural Resources (Y)
 - Supervision on port reception facilities part of Y-area of responsibility
- 15 ELY centres, 20 offices

The Ministry of Justice

- The Ministry of Justice (MOJ) maintains and develops legal order and legal protection, reinforces the structures of democracy, and safeguards citizens' fundamental rights.
- The Ministry is responsible for drafting the key legislation maintaining the legal order and for ensuring the proper functioning of the judicial system.
- Judicial powers are exercised by the independent courts.
- The Minister of Justice bears political responsibility for the activities of the ministry and its administrative branch.
- The administrative branch of the Ministry of Justice is extensive.
- Ministry of Justice [Front page - Ministry of Justice](#)
- [Home page - Oikeus.fi](#) See in English
- [Frontpage - FINLEX®](#) Finnish legislation in English (English translations are UNOFFICIAL)



Safety Investigation Authority Finland

- The Safety Investigation Authority Finland (SIAF) is a multimodal Safety Investigation Authority including Avion, Marine, Rail, Social & Healthcare and Other Accidents
- The tasks of the SIAF are defined in the Safety Investigation Act 525/2011 that includes also the provisions for the investigation of exceptional events.

Ministry of Education and Culture

- **The Ministry of Education and Culture** is responsible for the development of the education system, including **vocational education and training** and **universities of applied sciences**, which train for **maritime professions**.
- Two state agencies steered by the Ministry of Education and Culture have tasks related to qualifications and quality assurance in education, including maritime education
 - **The Finnish National Agency for Education** determines the qualification requirements in vocational education and training.
 - **The Finnish Education Evaluation Centre (FINEEC)** is an independent authority responsible for the national evaluation of education. The evaluations of FINEEC cover the education system in its entirety, from early childhood education to higher education.
- According to the regulation of education, maritime degree programs in both vocational and higher education must comply with EU legislation and international agreements. The Finnish Transport and Communications Agency (Traficom) approves the content and arrangements of the training programs according to the STWC convention.

Ministry of Social Affairs and Health (MSAH)



- Ministry is in charge of the planning, guidance and implementation of healthcare service system and occupational safety and health.
- MSAH directs the administration of occupational safety and health, prepare legislation concerning occupational safety and health and health care in maritime sector.
- Tasks of the MSAH related to maritime safety and health
 - Legislation on medical fitness examinations of seafarers
 - Legislation on health care of seafarers
 - Legislation on occupational safety and health of seafarers
 - Legislation on working and living environment and catering for seafarers on board ships



Ministry of Economic Affairs and Employment:

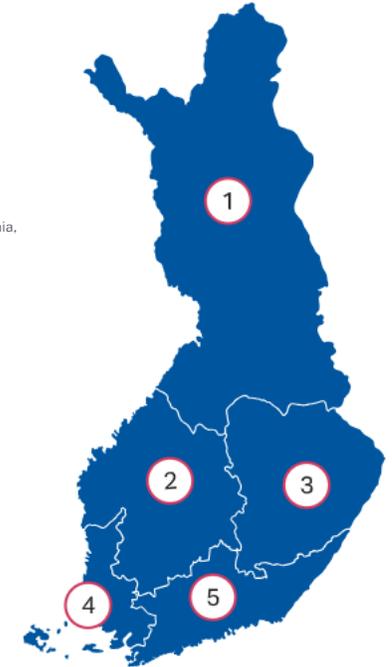
- is responsible for the preparation and development of maritime labour legislation (however, the Ministry of Social Affairs and Health regulates occupational safety and health at work and the working and living conditions of seafarers)
- is responsible for matters related to the ILO Maritime Labour Convention 2006 (MLC) within its area of responsibility (development of the agreement, reporting obligations related to compliance with the agreement)
- the tripartite Advisory Board on Seamen's Affairs also plays a key role in the preparation of legislation on maritime labour
- maritime labour legislation is supervised by the OSH-authorities of the Regional State Administrative agencies

Occupational Safety and Health Administration

- The occupational safety and health authority is an independent enforcement authority (as required by ILO conventions).
- The occupational safety and health authorities operate in **five divisions**, one at each Regional State Administrative Agency (AVI) and are under the direct control of the Ministry of Social Affairs and Health. Under the divisions of occupational safety and health we enforce regional compliance with occupational safety and health laws. Our most important method of enforcement is [occupational safety and health inspections](#).
- Our duties include investigating the reasons for serious occupational accidents, occupational diseases and work-related illnesses and to take measures to prevent them. We also supervise that the machines, tools, personal protective equipment and other technical equipment used in work meet the requirements set for them.
- There are about 250,000 workplaces in Finland subject to monitoring by the occupational safety and health authorities.
- [The Act on Occupational Safety and Health Enforcement and Cooperation on Occupational Safety and Health](#), also known as the Enforcement Act, provides on the ways in which the occupational safety and health authorities supervises occupational safety, employment relationships and compliance with other occupational safety and health related provisions. The Act also provides on how we monitor cooperation on occupational safety and health between employers and employees at workplaces. According to International Labour Organization (ILO) conventions, the occupational safety and health authority is independent in carrying out its supervisory function.



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MINISTRY OF TRANSPORT
AND COMMUNICATIONS

Common areas – Strategy

Session 3

Overall maritime policy and strategy of the State ◦ Linkage of entities to overall maritime strategy ◦ Overall coordination and communication of strategy ◦ Performance measurement and continual review for improvement of overall organisational performance

National preparation and coordination group

🏠 / PROJECTS AND LEGISLATION / PROJECT

Kansainvälisen merenkulun järjestön (IMO) auditointi 2024

LVM037:00/2022 DEVELOPMENT



The International Maritime Organization IMO will carry out a mandatory land audit in Finland in 2024. The audit focuses on the national approval and implementation of IMO regulations in national legislation, compliance with regulations and decisions, and maritime administration in Finland.

Meeting
4.10.2024

IMO auditoinnin (IMSAS)
koordinaatioryhmän kokous 4.10.2024

Documents

Hearing
27.5. – 27.5.2024

Liikenne- ja viestintäministeriön
merenkulun sidosryhmäverkosto

Other
28.10. – 4.11.2024

Auditointi Suomessa ja
auditointiraportin luonnos



- The Ministry of Transport and Communications appointed a national preparation and coordination group for IMO IMSAS Audit 2024 including maritime administration organisations to [Link: Government project register](#)

National preparation and coordination group for IMO IMSAS audit and Finland's policies (strategy)

- The working group prepared policies with maritime responsibilities in accordance with the audit framework set out in the IMO Implementation of Instruments Code (III Code). They were published in the Ministry of Transport and Communications Publication Series. [Link to the publication](#)
- In addition, the working group prepared a more detailed description of the Finnish Maritime administration and its operational functions
- The Policies publication uses the term policies which better describes the purpose of the procedures to ensure the promulgation, implementation and enforcement of the IMO obligations and the compliance of the administration with the requirements.
- The policies:
 - describe the organisation and procedures to ensure that Finland fulfils its responsibilities and obligations as maritime administration;
 - define procedures to monitor and measure the implementation and enforcement of international regulation; and
 - describe how the effectiveness and suitability of these policies are monitored, maintained and improved in order for the authorities to achieve the necessary level of flag, port and coastal State duties.





The working group when Policies were published

- **Chair:** Anne Miettinen, Senior Ministerial Adviser, Ministry of Transport and Communications
- **Vice-Chair:** Juha-Matti Korsi, Chief Adviser, Finnish Transport and Communications Agency Traficom
- **Secretariat:** Susanna Jansson, Chief Adviser, Traficom and
- Hanna Suutarila, Special Adviser (IMSAS), Traficom (Project Manager and National contact point
- **Members:** Katja Viertävä, Senior Ministerial Adviser, Ministry of Transport and Communications
- Pinja Oksanen, Senior Specialist, Ministry of Transport and Communications
- Laura Sarlin, Ministerial Adviser, Ministry of Transport and Communications
- Rami Ruuska, Senior Officer, Rescue Services, Finnish Border Guard
- Sami Järvenpää, Maritime SAR Adviser, Finnish Border Guard
- Väinö Pitkänen, Senior Officer, Ministry of Transport and Communications
- Ilmari Hyvönen, Senior Ministerial Adviser, Ministry of Education and Culture
- Milka Parviainen, Senior Specialist, Ministry of the Environment
- Tommi Kontto, Project Manager, Finnish Environment Institute
- Risto Haimila, Chief Safety Investigator, Safety Investigation Authority
- Antti Kangas, Head of Group, Finnish Meteorological Institute
- Matti Eronen, Legal Counsel, Finnish Transport Infrastructure Agency
- Sari Talja, Operative Director, Fintraffic VTS
- Timo Repo, Finnish National Agency for Education
- **Deputy members:** Helena Orädd, Maritime Specialist, and Joonatan Ahlroos, Project Manager, Finnish Transport Infrastructure Agency
- Patrick Eriksson, Group Leader, Finnish Meteorological Institute
- Jouni Patrakka, CTO, Fintraffic VTS
- Timo Kerttula, Senior Officer, Police, Finnish Border Guard
- Eeva Havas, Senior Officer, Finnish Border Guard Eeva-Maija Puheloinen, Secretary of the Board, Ministry of the Environment
- Jarno Pöntinen, Chief Technologist, Finnish National Agency for Education
- **In addition, other key ministries and regional government agencies participated in the preparation in connection with the review organised during the project.**

Principles for organisation of the administration and key responsibilities of Ministries in Finland

- Decentralised Maritime administration
- Each ministry is responsible for the tasks in its administrative branch which are based on obligations arising from legislation, ([Constitution](#) of Finland, Government Act 2003/175 mandates of the ministries), Provisions and divisions of duties Eg. Government Decree on the Ministry of Transport and Communications)
- The operational responsibilities assigned to the competent authorities have been separated from the legislative preparatory responsibilities of the ministries.
- Oversight and service procurement responsibilities have also been differentiated within or between agencies to avoid conflicts of interests.
- Regulatory control, inspections and investigations fall within the remit of the agencies, although losses of human life or serious environmental accidents are investigated by an authority different from the one that issues related permits.

Key responsibilities of the ministries

- Legislative drafting and monitoring of effectiveness of legislation
- Performance management and ownership steering in the administrative sector
- International cooperation, including international organisations and the European Union
- The budget of the State (Ministry of Finance coordination) and The budget of the administrative branch



Finnish Maritime Administration is guided by the following responsible ministries

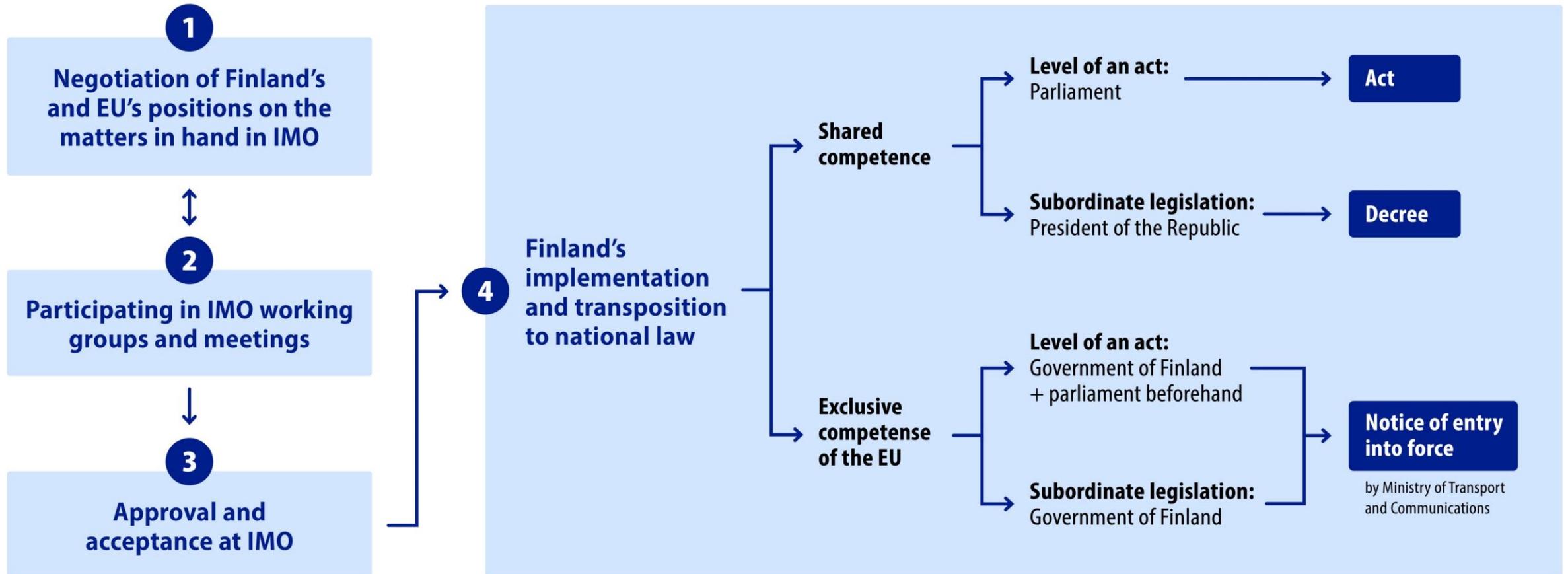
Monitoring, adoption and promulgation of IMO instruments	Ministry of Transport and Communications
Protection of the marine environment	Ministry of Transport and Communications Ministry of the Environment Ministry of the Interior
Environmental impacts	Ministry of the Environment
Transport system	Ministry of Transport and Communications
Communications system	Ministry of Transport and Communications
Environmental situation information	Ministry of the Environment Ministry of Transport and Communications
General coordination of EU legislation	Prime Minister's Office
General monitoring of the implementation of the objectives of the government programme	Prime Minister's Office
Maritime policy	Prime Minister's Office
Fishing, fisheries	Ministry of Agriculture and Forestry
Maritime training and skills	Ministry of Education and Culture Ministry of Transport and Communications



Finnish Maritime Administration is guided by the following responsible ministries

(Environmental) Impact of ports (environment)	Ministry of the Environment Ministry of Transport and Communications
General preparation related to the Constitution	Ministry of Justice
Sanctions and penalties	Ministry of Justice Ministry of Transport and Communications Ministry of the Interior
General coordination of international activities	Ministry for Foreign Affairs
State budget	Ministry of Finance
Statistics	Ministry of Finance
Customs	Ministry of Finance
Security	Ministry of the Interior Ministry of Transport and Communications
Safety investigation	Ministry of Justice
Occupational safety and health administration (OSHA), investigation of accidents at work, rest periods	Ministry of Social Affairs and Health Ministry of Economic Affairs and Employment Ministry of Transport and Communications
Search and Rescue (SAR), marine pollution response	Ministry of the Interior

Simplified process for national adoption and promulgation of IMO instruments





Monitoring and developing quality of legislation and legislative process

- Ministries continuously monitor and develop the quality of legislation and the legislative process. Impact assessments are a key method for ensuring quality, both at the beginning of the legislative process and afterwards.
- At the national level, Finland seeks to improve impact by linking the promulgation of IMO instruments to major national legislative projects, while taking into account time constraints.
- Legislation Directors of the ministries and legislation networks support quality development and monitoring
- Impact assessment development, eg. Assessment of social impacts
- Government legislation and international cooperation training

Setting objectives and resources for maritime governance – enabling both strategic development and continuity of mandatory actions



- **Continuity of the mandatory actions** (eg. Management of international obligations) **is ensured across parliamentary terms**
- **Government programme** is set every four years on the same cycle as parliamentary election and government formation. It includes **strategic objectives** and most important **reforms**
- **Legislative plan and impact assessments**
- **State budget planning yearly (and amendments) and long-term General Government Fiscal Plan**
- **Rules of procedure of every public entity** outlines processes, responsibilities and resources for statutory tasks of the authority
- **The ministries steer the agencies** and ensure that their objectives and operations are in line with the Government Programme. After the approval of the state budget, each ministry concludes **annual performance agreements with its agencies**
- The objectives are **strategic** and cover the **entire operations** of the agency, so they may include few objectives set specifically for the Maritime Administration.
- **Each agency in the Administration sets targets for itself** in order to **fulfil the obligations imposed on it by legislation and plans its operations on a practical level internally** in relation to the Government Programme, the performance agreement, the steering of the Ministry and the internal objectives it sets for its operations

Links

- <https://valtioneuvosto.fi/en/about-the-government>
- [Government Programme - Finnish Government](#)
- Planning and review of operations and financing documents [Ohjaus ja seuranta - Liikenne- ja viestintäministeriö](#)
- <https://www.eduskunta.fi/EN/tiedotteet/Pages/Parliament-approved-the-state-budget-for-2024.aspx>
- <https://vm.fi/en/-/government-decides-on-general-government-fiscal-plan-for-2025-2028>
- The budget proposal of Government <https://vm.fi/en/-/proposal-for-2025-budget-submitted-to-parliament>

Examples of Government Programme and performance Agreement 2024-27: examples

- Government Programme:
- Information security in critical sectors will be improved and a cyber security development programme implemented
- The national cyber security strategy
- The 12-year transport system plan will be updated immediately at the beginning of the Government Term
- A transport investment programme will be implemented
- The way icebreaking is organised will be reformed...
- The creation of a shared situational picture of space-related issues will be promoted
- The Creating competitiveness in the data economy and digitalisation
- The Group strategy for the administrative branch societal impact objectives
- Transport system of the future will be safe, knowledge-based and sustainable
 - All: A joint roadmap for the implementation of the digital twin of the transport system has been prepared in the administrative branch, in which common development measures have been prioritised
 - Traficom: Introduction of eNavigation and S-100 standardisation in the administrative branch.
- Digital connections and the transport network will improve Finland's competitiveness and international accessibility.
 - 2024 Objectives have been set for transport system analysis and the target user groups have been defined
 - 2027 The data content of the transport system analysis covers the needs of the planning and impact assessment process of transport 12 (background information for impact assessment and anticipation of likely trends)
 - MINTC & FMI 2024 The political decisions required for the establishment of the space situation centre have been received and necessary proposals have been submitted to Parliament

Measuring maritime administration performance and monitoring compliance

- Every ministry issues **an annual statement on the final accounts on the agencies in its administrative branch**, examining the fulfilment of the performance agreements (incl. human resources) and financial management.
- Annual Statement of the Ministry of Transport and Communications 2023 [Liikenne- ja viestintäministeriön tilinpäätös vuodelta 2023 \(1\).PDF](#)
- The basis for the statement on the final accounts comprises the **financial statements of the agency**, which include the Board of Directors' report and **the financial audit report by the National Audit Office**.
- The overall functioning of the Administration is examined through **internal audits, reviews and external evaluations**
- The effectiveness of the Administration is reviewed in relation to the implementation of international conventions approximately every seven years by means of an **IMO IMSAS audit**.
- In addition, **the European Maritime Safety Agency (EMSA) regularly audits** Finland's measures in implementing EU legislation.
- Agencies with **externally audited management systems** are audited by external parties in accordance with the requirements set by the system.
- Internally, operations are assessed and developed through **management systems, administrative rules and risk assessment plans**.

Legislation on performance guidance and budget

- Legislation on performance guidance has not been centralised in a separate act; instead, provisions on it and related provisions have been included in several statutes. However, only some of the performance guidance process is included in the statutes; some of the process is not within the scope of regulation at all.
- Ministry of Transport and Communications Administrative sector documents on planning and review of operations and finances [Ohjaus ja seuranta - Liikenne- ja viestintäministeriö](#)
 - Performance Guidance instructions on the Ministry of the Transport and Communications VN/13052/2021, updated on 5.5.2023
- The most important statutes concerning performance management are [the Constitution of Finland \(731/1999; hereinafter referred to as the Constitution\)](#), [the State budget Act \(423/1988; hereinafter referred to as the State budget Act\)](#), [the State budget Decree \(1243/1992; hereinafter referred to as the State budget Decree\)](#) and [the Government Rules of Procedure \(262/2003; hereinafter REFERRED to as THE GOVERNMENT RULES OF PROCEDURE\)](#). The Act on [criteria for charges payable to the State \(150/1992\)](#) and [Decree on criteria for charges payable to the State \(211/1992\)](#) and the Act on the [national Audit Office of Finland \(676/2000\)](#) also contain provisions on performance management.

Operational responsibilities of the Maritime Administration

- The Administration **operationally fills three internationally regulated roles as the administration of the flag State, port State and coastal State**. Each of these roles has responsibilities related to safe shipping and the protection of the marine environment
- **The implementation and enforcement duties** of the Administration are divided between different agencies and institutions, and related tasks may be carried out by several different agencies.
- The duties of the agencies are described in **acts, decrees and rules of procedure**.
- **The agency prepares lower-tier standards**, i.e. regulations, related to the **technical promulgation** of IMO instruments.
- The Finnish Transport and Communications Agency supports the Ministry of Transport and Communications for example in **the monitoring of IMO instruments and in the legislative work**.
- **Delegation of tasks and cooperation meetings** are continuous both in director and experts level.

Flag State Responsibilities: vessels and seafarers

Certificates and endorsements issued to seafarers	Finnish Transport and Communications Agency Traficom
Approval and control of seafarer training providers and training programmes	Ministry of Education and Culture; Traficom
Interpretations of cases where the international claim can be resolved to the satisfaction of the Administration	Traficom
Ensuring adequate safe manning of Finnish ships	Traficom
Authorisation of recognised organisations (RO)	Traficom
Supervision of nominated surveyors, tonnage surveyors, inspectors and class (RO)	Traficom
Vessel seaworthiness requirement	Traficom
Vessel inspection requirement	Traficom
Enforcement of sanctions for violations of international rules and standards	Finnish Border Guard; Traficom; Safety Investigation Authority; National Police Board; Court
Certification of ships	Traficom
Accident investigation	Safety Investigation Authority
Investigation of occupational accidents	Regional State Administrative Agency



Coastal State Responsibilities: territorial waters

Radio communication services	Finnish Transport and Communications Agency Traficom; Finnish Border Guard, Traffic Management Company Fintraffic
Weather services and warnings	Finnish Meteorological Institute
Search and rescue activities (SAR)	Finnish Border Guard
Hydrographic services	Traficom
Ships' routing; setting up or discontinuing traffic separation schemes	Traficom
Ships' routing; Enforcement of traffic separation schemes	Fintraffic; Traficom
Mandatory ship reporting (GOFREP)	Traficom; Fintraffic
Mandatory ship reporting systems	Finnish Customs; Traficom
VTS services	Finnish Transport Infrastructure Agency; Traficom; Fintraffic
Aids to navigation (ATON)	Finnish Transport Infrastructure Agency; Traficom
Preparedness for response to pollution incidents	Finnish Border Guard; Finnish Environment Institute; Rescue Departments
Surveillance of ships' emission (according to MARPOL)	Finnish Border Guard; Traficom; National Police Board
Accident investigation in Finnish territorial waters	Safety Investigation Authority



Port State Responsibilities

Approval and monitoring of the port reception facility's (PRF) waste management plan	Centre for Economic Development, Transport and the Environment
Port State control (PSC)	Finnish Transport and Communications Agency Traficom
Register of fuel oil suppliers	Traficom



Overall coordination and communication of strategy

Overall coordination and communication of strategy



- The Finnish policies (overall strategy) is under responsibility of Ministry of Transport and Communications.
- It has been communicated via press releases and social media as a publication in the Ministry's Publication Series [Finland publishes policies on meeting IMO obligations concerning maritime administration - Ministry of Transport and Communications](#)
- It is also educational material together with Description of Maritime administration that can be communicated to the maritime administration in IMO training sessions.
- It is also shared and discussed in different networks including maritime administration and different stakeholders (Eg. Ministry of Transport and Communications maritime stakeholder network 28.5.2024 including authorities, maritime industry and academia)
- Over 20 networks were recognised during the audit preparation and first annual reviews of maritime administration).
- If major changes recognised in Annual Review the Finnish Policies will be updated during the annual review and **republished by the Ministry**



Performance measurement and continual review for improvement of overall organisational performance



The process of the activity measurement

- **Government programme** <https://valtioneuvosto.fi/en/governments/government-programme#/>
- Budget proposals of ministries
- Government budget proposal The budget proposal of Government <https://vm.fi/en/-/proposal-for-2025-budget-submitted-to-parliament>
- Budget process in English [The Budget - Finnish Government](#)
- <https://budjetti.vm.fi/index.jsp>
- State budget approved in the Parliament <https://www.eduskunta.fi/EN/tiedotteet/Pages/Parliament-approved-the-state-budget-for-2024.aspx>
- **Instructions of the Performance Agreements to the administrative sector**
- **Ministry of Transport and Communications performance agreement 2024-2027**
22.2.2024, VN/30141/2023
- **Ministries and the agencies Performance Agreements**
- **Financial statement of the ministry**
 - Annual Statement of the Ministry of Transport and Communications 2023 [Liikenne- ja viestintäministeriön tilinpäätös vuodelta 2023 \(1\).PDF](#)



the Ministry of Transport and Communications steers and directs the administrative branch and its agencies. Tools are steering forums, Annual watch and Digital performance watch tool, the Ministry of Transport and Communications steers and directs the administrative branch and its agencies

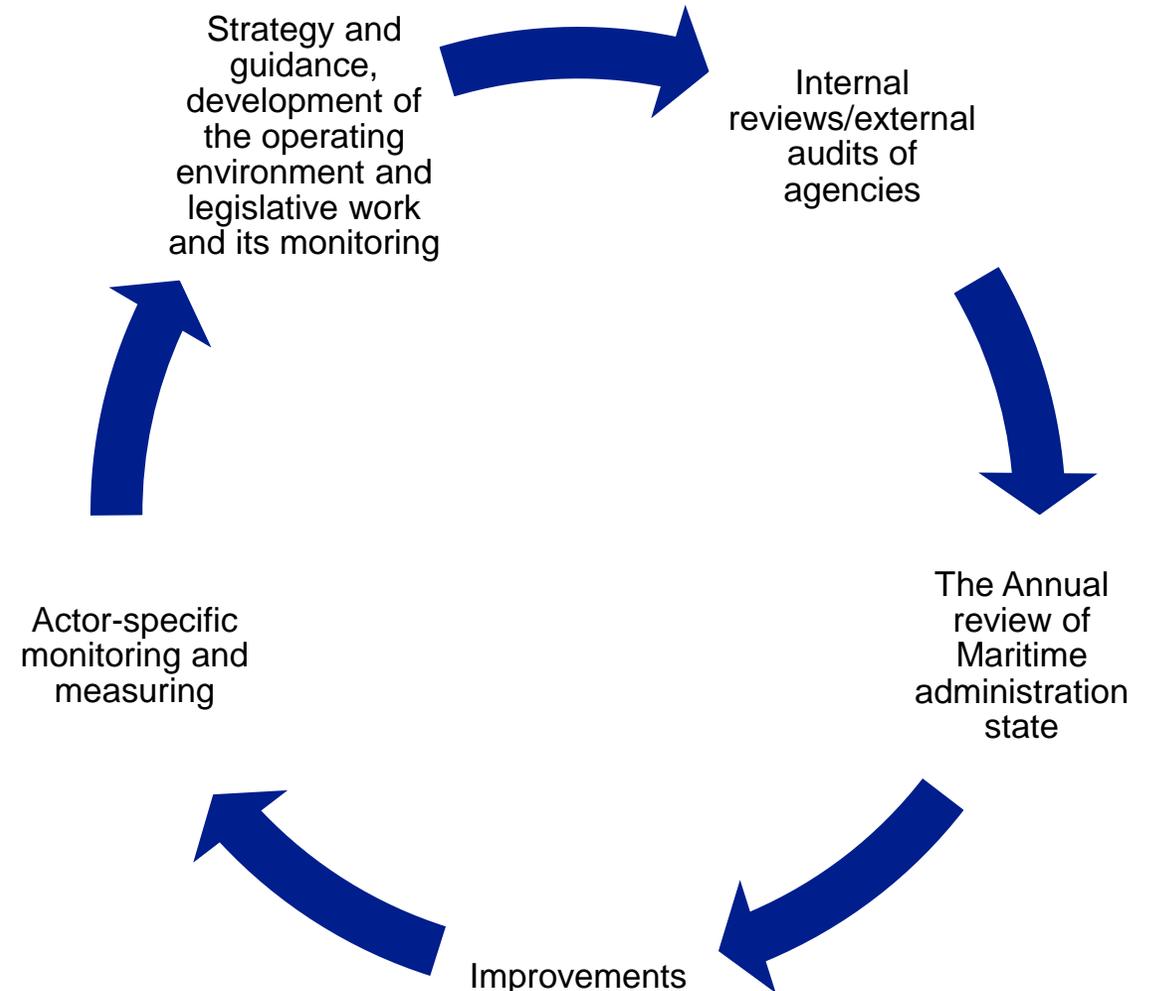
- **Strategy day** for the management of the administrative branch - to form a common view on the key objectives for the coming year and to anticipate future developments
- **Management group of the administrative branch** - discusses the operational and financial planning, strategic issues and legislative plan of the administrative branch, coordinates the operations of the administrative branch, and discusses management and employer policy.
- **Agency-specific steering groups**
- **Monitoring group for performance targets** - focus on the progress of performance targets and the evaluation and development of the functioning of processes. Based on the discussions of the monitoring group, the performance guidance officers report on the progress of the objectives to the ministry's management and, if necessary, matters are discussed in the agency-specific steering steering group.
- **Designated performance management counterparts** operating in departments and agencies act as contact persons for performance management
- **Annual clock of performance guidance** and annual watch for performance guidance

Monitoring and reporting

- **A performance agreement** covering the government term will be drawn up for the Ministry during the electoral year. The performance agreement will be revised as necessary. The performance agreement includes the ministry's multiannual performance targets, including indicators and annual target levels.
- Progress in the achievement of the performance targets will be monitored three times (April, August, November) during the year (**follow-up reporting**).
- The Ministry and the agencies prepare annual **financial statements** by the end of February.
- **The Ministry issues opinions on the agencies' financial statements** by 15 June each year.
- The Steering Unit coordinates the preparation of the Ministry's performance description in the **Government Annual Report** (hallituksen vuosikertomus)

Continuous improvement of the maritime administration as a whole

- The operations of the Maritime Administration are improved based on continuous monitoring and an annual management review established in Finland's Policies on Procedures document.
- The Policies on Procedures document may be updated in accordance with the observations made in connection with the annual review.
- Responsibility of the annual review by Ministry of Transport and Communications and Traficom, 2025-> Traficom





The scope of the maritime management review

1. **Identifying changes in the operating environment**
2. **Examining the status of continuous monitoring** of conventions and their amendments (continuous monitoring), **national approval and promulgation**, as well as **legislative projects**,
3. **Reviewing the statistical data** of the maritime administration,
4. **Examining status of Agency specific objectives and key processes** to ensure the achievement of objectives and development needs,
5. **Reviewing results of the self-assessments**, eg. **Previous Maritime management review or internal audits** of each agency and **the status of possible corrective actions**,
6. **Reviewing results of external audits** and **status of any corrective actions**,
7. Identifying any **development proposals** emerging from the operating environment or proposed by stakeholders,
8. **Recognizing Key coordination meetings** within maritime administration field and **their measures**
9. Whether there is a **need to update these Policies** on procedures based on **management review findings**. **After the management review meeting Traficom sends the findings for corrective actions to the responsible entities as agreed in the review.**



Initial questions and requests of auditors to maritime administration entities?

- Questions will be answered in further sessions including further information and evidence.



LIIKENNE- JA VIESTINTÄMINISTERIÖ
KOMMUNIKATIONSMINISTERIET

Thank you

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